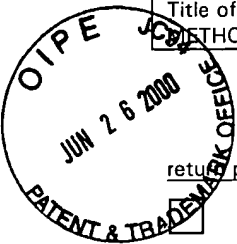


2768

| TRANSMITTAL LETTER   |                               |                          | Case No. 10106/4       |
|--|-------------------------------|--------------------------|------------------------|
| Serial No.<br>09/524,189   | Filing Date<br>March 13, 2000 | Examiner<br>Not Assigned | Group Art Unit<br>2768 |
| Inventor(s)<br>Forrest N. Krutter et al.   |                               |                          |                        |
| Title of Invention<br>METHOD OF REINSURING AN INSOLVENT INSURANCE OR REINSURANCE COMPANY'S LIABILITIES |                               |                          |                        |



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement, Form PTO-1449, copies of eight (8) references, and return postcard.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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JUN 30 2000  
GROUP 2700

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity    |           |
|-----------------|-----------|
| Rate            | Add'l Fee |
| x \$9 =         |           |
| x 39 =          |           |
| + \$130 =       |           |
| Total add'l fee | \$        |

| Other Than Small Entity |           |
|-------------------------|-----------|
| Rate                    | Add'l Fee |
| x \$18 =                |           |
| x \$78 =                |           |
| + \$260 =               |           |
| total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 6-21-00

Date:

6-21-00

Signature:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

6-21-00  
Date of Deposit

Marc V. Richards  
Name of Applicant, assignee, or  
Registered Representative

Marc V. Richards  
Signature

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JUN 30 2000  
GROUP 2700

Our Case No. 10106/4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Forrest N. Krutter et al.

Serial No.: 09/524,189

Filing Date: March 13, 2000

For: METHOD OF REINSURING AN  
INSOLVENT INSURANCE OR  
REINSURANCE COMPANY'S  
LIABILITIES



Examiner: Not Assigned

Art Unit: 2768

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

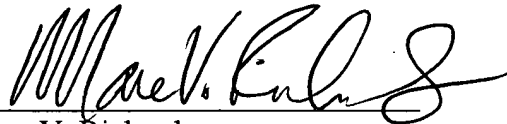
Pursuant to the obligation under 37 C.F.R. 1.56 and in conformance with 37 C.F.R. 1.97-1.99, Applicant hereby submits references A1-A8 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of the references are enclosed herewith.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. Section 1.56(b). Further, Applicant reserves the right to contest these references as prior art against the present application, and Applicant does not believe that the disclosure

of these references, even if finally determined to be prior art, anticipates Applicant's invention or that these references make Applicant's invention obvious.

Applicant respectfully requests that the Examiner review the entire disclosure of these documents and make them of record. This Information Disclosure Statement is being submitted within three months of the date of filing, or before the mailing date of the first Office Action on the merits, pursuant to 37 C.F.R. 1.97(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Marc V. Richards", written over a horizontal line.

Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant

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